SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Sammes, et al.

Attorney Docket: 2354/114

Serial No:

09/864,070

Art Group Unit: 1745

Date Filed:

May 22, 2001

Examiner Name: Not assigned

Invention:

Electrode-Supported Solid State Electrochemical Cell

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Foreign Patents

Examiner

Reference

Document

Publication Date

Country

Initials

Number

Number

AY ΑZ

WO 99/17390 WO 01/24300 A1

8 Apr., 1999 28 Sept. 2000 WO WO

Other Documents

Examiner **Initials**

Reference Number

Author

Title of Article, Title of Journal, Volume Number

Page Numbers, Date

BA

Kato et al

Anode-Supported Tubular SOFCs Operated at Intermediate Temperature, Electrotechnical

Laboratory

Examiner Signature

Date Considered:

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant. (Page 4 of 7)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Sammes et al.

Attorney Docket:

2354/114

Serial No.:

09/864,070

Date: November 27, 2001

- 27 2001

Date Filed:

May 22, 2001

Invention:

Electrode-Supported Solid State Electrochemical Cell

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

United States Patents

| Exam. <u>Init.</u> | Ref. <u>No.</u> | Document Number | Issue Date | <u>Name</u> | Class/ Subclass |
|-----------------------|--|---|--|--|--|
| Init. | No. AA AB AC AD AE AF AG AH AI AJ AK AL AM AN AO AP | Number 4,751,152 4,888,254 5,035,962 5,108,850 5,143,801 5,342,703 5,368,667 5,368,951 5,395,704 5,527,633 5,589,017 5,788,788 5,827,620 5,908,713 5,916,700 5,993,985 | Date Jun. 14, 1988 Dec. 19, 1989 Jul. 30, 1991 Apr. 28, 1992 Sep. 1, 1992 Aug. 30, 1994 Nov. 29, 1994 Nov. 29, 1994 Mar. 7, 1995 Jun. 18, 1996 Dec. 31, 1996 Aug. 4, 1998 Oct. 27, 1998 Jun. 1, 1999 Jun. 29, 1999 Nov. 30, 1999 | Name Zymboly Reichner Jensen Carlson et al. Bates Kawasaki et al. Minh et al. Shiratori et al. Barnett et al. Kawasaki et al. Minh Minh Kendall Ruka et al. Ruka et al. Borglum | Subclass 429/31 429/40 429/31 429/30 156/89 429/30 429/30 429/30 156/62.2 429/31 429/30 429/30 429/30 429/30 |
| am | AQ AR | 5,998,056 6,228,521 B1 | Dec. 7, 1999 | Divisek et al. Kim et al. | 429/41 429/33 |
| <i>-</i> 7 1 | | | | | |

Page_4__of__7_

Foreign Patents

| Exam. <u>Init.</u> | Ref. <u>No.</u> | Document Number | Publication Date | Country | RECEIVEL TC 1700 | | | | |
|-------------------------|--------------------|---|-------------------------------------|---------|---------------------|--|--|--|--|
| afm | AS | 0 372 680 B1 | 13 Jun. 1990 | Europe | TC 17001 | | | | |
| Other Documents 700 | | | | | | | | | |
| Exam. <u>Init.</u> | Ref. <u>No.</u> | | | | | | | | |
| afm | AT | Song et al., "Fabrication and Characteristics of Anode-Supported Tube For Solid Oxide Fuel Cell," <i>Electrochemical Society Proceedings</i> , Vol. 99-19, 845-850. | | | | | | | |
| | AU | Primdahl et al., "Thin Anode Supported SOFC," Electrochemical Society Proceedings, Vol. 99-19, 793-802. Kim et al., "Polarization Effects in Intermediate Temperature, Anode-Supported Solid Oxide Fuel Cells," Journal of The Electrochemical Society, 146(1) 69-78 (1999). | | | | | | | |
| | AV | | | | | | | | |
| | AW | Kim et al., "The Effect of Anode Thickness on The Performance of Anode-Supported Solid Oxide Fuel Cells," <i>Electrochemical Society Proceedings</i> , Vol. 99-19, 830-839 (1999). | | | | | | | |
| am | AX | Stöver et al., "Recent Developments in Anode Supported Thin Film SOFC at Research Centre Jülich," <i>Electrochemical Society Proceedings</i> , Vol. 99-19, 812-821. | | | | | | | |
| Examiner: All Mark | | | | | | | | | |
| Date Considered: $8/03$ | | | | | | | | | |

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.

Page 5 of 7

182401